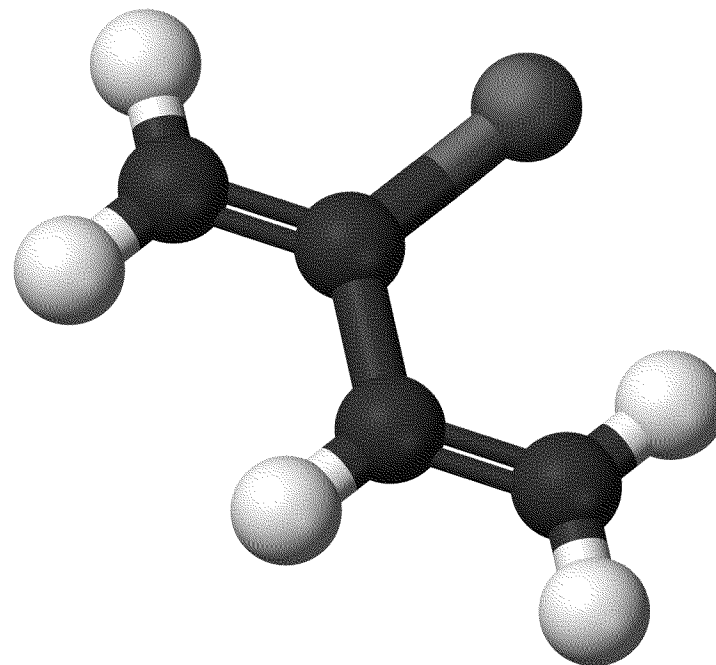


# REQUEST FOR CORRECTION OF THE EPA'S 2010 IUR FOR CHLOROPRENE

**Kenneth A. Mundt, PhD, FACE**

**P. Robinan Gentry, PhD, DABT**

**Sonja Sax, ScD**



# EXECUTIVE SUMMARY 1

- EPA published the IRIS Toxicological Review of Chloroprene in 2010, with an inhalation unit risk (IUR) of  $5 \times 10^{-4}$  per  $\mu\text{g}/\text{m}^3$ .
- This is the 5<sup>th</sup> highest IUR derived by IRIS for any chemical classified by IARC as carcinogenic (Group 1) or probably carcinogenic (Group 2a).
- IARC classified chloroprene as possibly carcinogenic (Group 2b).
- Ramboll Environ was requested to conduct a detailed review of the 2010 IRIS, and to derive an IUR for Chloroprene.

## EXECUTIVE SUMMARY 2

- **Key Findings: All lines of evidence indicate that the IUR should be corrected:**
- The highest quality epidemiological studies demonstrated no excess lung or liver cancer risk.
- Toxicological data do not support a mutagenic mode of action.
- Multiple lines of evidence indicate large differences across species.
- Using NRC best practices recommendations, EPA methods and pharmacokinetic data, the Ramboll Environ IUR is 156 times lower than the 2010 IRIS IUR.
- Cancer risk estimates based on the Ramboll Environ IUR are consistent with the epidemiological data.

# INTRODUCTION

- EPA published the IRIS Toxicological Review of Chloroprene\* in 2010, with an inhalation unit risk **(IUR) of  $5 \times 10^{-4}$  per  $\mu\text{g}/\text{m}^3$** .
- Denka Performance Elastomer (DPE) acquired the Neoprene production facility in LaPlace, Louisiana from DuPont on November 1, 2015.
- On December 17, 2015, EPA published the 2011 National Air Toxics Assessment (NATA), including a risk assessment based on the facility's emissions and the 2010 IRIS IUR.
- The NATA study identified DPE's facility as associated with **one of the highest offsite cancer risks** of any chemical facility in the US.
- DPE retained Ramboll Environ to evaluate the scientific validity of the 2010 IRIS IUR.
- Using EPA standard methods and publicly available data, Ramboll Environ determined that the 2010 IRIS **IUR is overestimated by a factor of 156**.

\* U.S. Environmental Protection Agency, Washington, DC, EPA/635/R-09/010F, 2010.

# OBJECTIVES

- Evaluate the 2010 IRIS Review of Chloroprene, especially the IUR, in light of NRC (2011, 2014) guidance on improving IRIS assessments:
  - How studies are evaluated: quality assessment and weighting
  - Better integration of data across all lines of evidence
- Critically review and integrate the published epidemiological, toxicological, and mode of action evidence on chloroprene carcinogenicity.
- Apply a standard pharmacokinetic correction to the chloroprene IUR.
- Provide a “reality check” for the IUR.

# **EPIDEMIOLOGICAL EVIDENCE**

## COMPARISON OF KEY CRITERIA ACROSS STUDIES

| Key Criteria        | US and Europe<br>(Marsh <i>et al.</i> 2007)                                       | Armenia<br>(Bulbulyan <i>et al.</i> 1999)                | Russia<br>(Bulbulyan <i>et al.</i> 1998)                             | China<br>(Li <i>et al.</i> 1989)                       |
|---------------------|---|--|--|--|
| Sample Size         | 12,430  | 2,314  | 5,185  | 1,258  |
| Follow-up           | 1949–2000   | 1979–1993  | 1979–1993  | 1969–1983  |
| Exposure Assessment | Exposure modeling – 7 categories  | Index (none, low, high)- before/after 1980               | Index (none, med, high)- (inadequate) + job                          | IH High vs. low based on recall                        |
| Baseline rates      | National, local plant area counties 1960–1994                                     | Armenian rates 1980–1989                                 | Moscow rates 1979–1993 or 1992–1993 (liver)                          | From “local area” 1973–1975 expected lung cancers: 0.4 |
| Confounding         | Used local rate comparisons;<br>Low prevalence of other liver cancer risk factors | Alcohol use (high cirrhosis rates) and smoking prevalent | Alcohol use (high cirrhosis rates) and smoking;<br>Co-exposure to VC | Hepatitis B and aflatoxin;<br>Co-exposures to VC       |

# MARSH STUDY RECEIVES HIGHEST QUALITY RANK

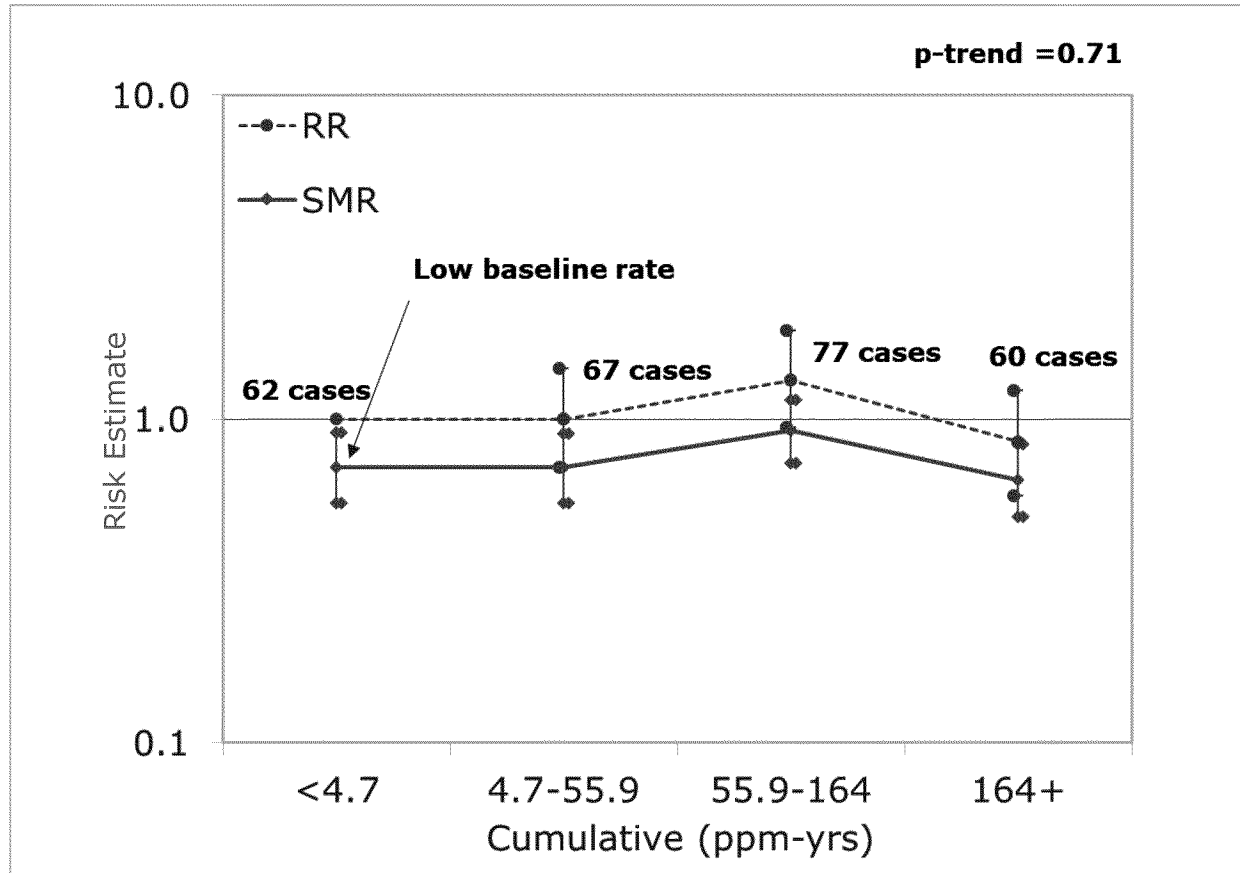
| US EPA Criteria                | Marsh et al. (2007 a,b) Study |               |                        |                               | Other Studies        |                               |                     |                    |
|--------------------------------|-------------------------------|---------------|------------------------|-------------------------------|----------------------|-------------------------------|---------------------|--------------------|
|                                | Kentucky <sup>1</sup>         | North Ireland | Louisiana <sup>1</sup> | France-Mortality <sup>1</sup> | Armenia <sup>2</sup> | France-Incidence <sup>3</sup> | Russia <sup>4</sup> | China <sup>5</sup> |
| Clear objectives               | H†                            | H             | H                      | H                             | H                    | H-M                           | H                   | M                  |
| Comparison groups              | H                             | H-M           | H-M                    | M                             | M                    | M                             | M-L                 | L                  |
| Exposure                       | H                             | H             | H                      | H                             | M                    | M                             | L                   | L                  |
| Follow-up                      | H                             | H-M           | H                      | H-M                           | M-L                  | M-L                           | M-L                 | M-L                |
| Case ascertainment             | H                             | H-M           | H-M                    | H-M                           | M                    | M                             | M                   | H-M                |
| Control of bias                | H-M                           | H-M           | H-M                    | M                             | M-L                  | M                             | M                   | M-L                |
| Sample size                    | H                             | H             | M                      | L                             | M-L                  | L                             | H-M                 | M-L                |
| Data collection and evaluation | H                             | H             | H                      | H                             | M                    | M                             | M-L                 | M-L                |
| Adequate response              | H                             | H             | H                      | H                             | M                    | M                             | M                   | H-M                |
| Documentation of results       | H                             | H             | H                      | H                             | M-L                  | M                             | M                   | L                  |
| <b>Overall rank (1=best)</b>   | <b>1</b>                      | <b>2</b>      | <b>3</b>               | <b>4</b>                      | <b>5</b>             | <b>5</b>                      | <b>5</b>            | <b>6</b>           |

Source: Bukowski 2009 † Subjective estimate of study quality for each specific criterion H=high, M=medium, L=low; 1 – Marsh et al. 2007; 2 – Bulbulyan et al. 1999; 3 – Colonna and Laydevant 2001; 4 – Bulbulyan et al. 1998; 5 – Li et al. 1989



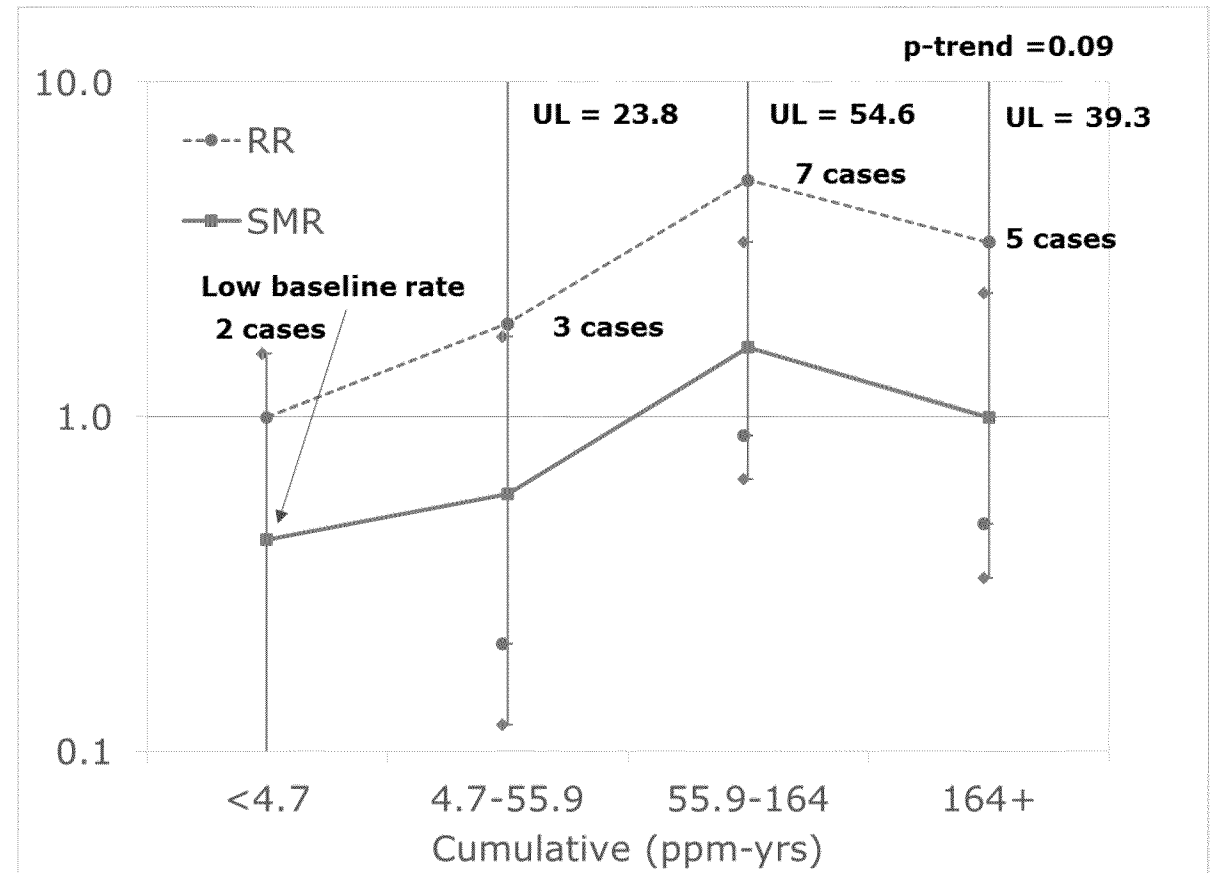
# MARSH STUDY SHOWS NO INCREASED LUNG OR LIVER CANCER RISKS

Respiratory cancers RRs and SMRs by cumulative chloroprene exposure, Louisville plant



Source: Marsh 2007b

Liver cancers RRs and SMRs by cumulative chloroprene exposure, Louisville plant



Source: Marsh 2007b

## LOCAL COMMUNITY-LEVEL CANCER RATES

- Cancer incidence data from the Louisiana Tumor Registry for St. John the Baptist Parish (where DPE plant is located) and for the state of Louisiana
- Five most recent years

| Cancer site            | Parish Rate                      | State Rate | Ranking<br>(1=lowest<br>cancer rate) |
|------------------------|----------------------------------|------------|--------------------------------------|
| All cancers            | 463.2                            | 478.7      | 15/64                                |
| Respiratory<br>cancers | 60.1                             | 70.5       | 7/64                                 |
| Liver cancers          | < 3 cases (too<br>few to report) |            | Unknown*                             |

\*Unknown as as there were 28 parishes with too few liver cancer cases

Source: <https://statecancerprofiles.cancer.gov/incidencerates/index.php?stateFIPS=22&cancer=001&race=00&sex=0&age=001&type=incd&sortVariableName=rate&sortOrder=default#results>

## OCCUPATIONAL CANCER RATES IN THE PONTCHARTRAIN FACILITY, LA

- Marsh et al. (2007a) results for 1,357 workers at the Pontchartrain facility in LA (US and local reference rates)

| Cancer site         | US-based SMR     | Local-based SMR  |
|---------------------|------------------|------------------|
| All cancers         | 0.74 (0.51-1.04) | 0.68 (0.47-0.95) |
| Respiratory cancers | 0.72 (0.37-1.26) | 0.62 (0.32-1.09) |
| Liver cancers       | None reported    | None reported    |

## MARSH ET AL. (2007) CONCLUSION

Marsh et al. (2007) should be given greater weight than studies from Asia, Russia and Armenia:

*"We conclude that persons exposed to chloroprene or vinyl chloride at the levels encountered in the four study sites **did not have elevated risks of mortality from any of the causes of death** examined, including all cancers combined and **lung** and **liver** cancer, the cancer sites of a priori interest."*

*"This **conclusion is corroborated by our detailed analyses of mortality** in relation to **qualitative and quantitative exposures to CD** and VC at each of the four study sites."*

Source: G.M. Marsh et al. / Chemico-Biological Interactions 166 (2007) 285–300

# TOXICOLOGICAL EVIDENCE

## ANIMAL STUDIES

- Studies conducted in B6C3F1 mice and Fischer rats (NTP, 1998), and in Wistar rats and Syrian hamsters (Trochimowicz et al., 1998) at chloroprene concentrations ranging from 10 to 80 ppm.
- A significant incidence of tumors seen across many organ sites, primarily in mice and at the highest exposure levels.
- The most sensitive species/tumor site is the female mouse and the lung.
- Fewer tumors in Wistar rats and Syrian hamsters; little consistency across species both in the number of tumors and in tumor location.
- Differences in tumor incidence can be explained by using PBPK modeling and the calculated internal dose of metabolized chloroprene.

SUMMARY OF ANIMAL DATA (DERIVED FROM HIMMELSTEIN ET AL. 2004)

| Species                                    | Exposure concentration (ppm) | PBPK internal dose (mg/g) | Lung tumor incidence | Number of animals |
|--|------------------------------|---------------------------|----------------------|-------------------|
| Syrian Hamster (Trochimowicz et al., 1998) | 0                            | 0                         | 0                    | 100               |
|  | 10                           | 0.18                      | 0                    | 97                |
|  | 50                           | 0.88                      | 0                    | 97                |
| Wistar rat (Trochimowicz et al., 1998)     | 0                            | 0                         | 0                    | 97                |
|  | 10                           | 0.18                      | 0                    | 13                |
|  | 50                           | 0.89                      | 0                    | 100               |
| Fischer rat (NTP, 1998)                    | 0                            | 0                         | 3                    | 50                |
|  | 12.8                         | 0.22                      | 3                    | 50                |
|  | 32                           | 0.55                      | 6                    | 49                |
|  | 80                           | 1.37                      | 9                    | 50                |
| <b>B6C3F1 mouse</b> (NTP, 1998)            | <b>0</b>                     | <b>0</b>                  | <b>15</b>            | <b>50</b>         |
|  | <b>12.8</b>                  | <b>3.46</b>               | <b>32</b>            | <b>50</b>         |
|  | <b>32</b>                    | <b>5.3</b>                | <b>40</b>            | <b>50</b>         |
|  | <b>80</b>                    | <b>7.18</b>               | <b>46</b>            | <b>50</b>         |

*In vitro* mutagenicity results are inconsistent

| Study                         | Method         | Exposure    | Response |
|-------------------------------|----------------|-------------|----------|
| Bartsch <i>et al.</i> , 1979  | Desiccator     | 4 hours     | +        |
| Westphal <i>et al.</i> , 1994 | Pre-incubation | 2 hours     | -        |
| NTP, 1998                     | Pre-incubation | 20 min.     | -        |
| Willems, 1980                 | Desiccator     | 24-48 hours | +        |

*In vivo* results are mostly negative, and mutagenicity profile is different from 1,3-butadiene

| Chemical        | <i>In Vivo</i> (B6C3F1 mouse) |     |    |
|-----------------|-------------------------------|-----|----|
|                 | CA                            | SCE | MN |
| Chloroprene     | -                             | -   | -  |
| 1,3 - Butadiene | +                             | +   | +  |

CA – chromosome aberrations; SCE - sister chromatid exchange; MN - micronucleus test; Source: Tice 1988

Weight of evidence is not consistent with a mutagenic MOA. An alternative MOA should be considered in accordance with EPA and NRC guidelines.



**CHLOROPRENE IUR**

| Compound<br>(Year of Review) | IUR per<br>ug/m <sup>3</sup>                     | Basis                                     | PBPK<br>adjustment | Classification        | Ratio |
|------------------------------|--|---|--------------------|-----------------------|-------|
| Chloroprene (2010)           | <b>5 x 10<sup>-4</sup></b>                       | Multiple tumors in mice,<br>mutagenic MOA | No                 | Possibly Carcinogenic | 1     |
| 1,3 Butadiene (2002a)        | 3 x 10 <sup>-5</sup>                             | Human occupational<br>studies             | No                 | Known Carcinogen      | ~20   |
| Benzene (2002b)              | 2 x 10 <sup>-6</sup> –<br>7.8 x 10 <sup>-6</sup> | Human occupational<br>studies             | No                 | Known Carcinogen      | 250   |
| Vinyl Chloride (2000)        | 4.4 x 10 <sup>-6</sup>                           | Liver tumors in rats                      | Yes                | Known Carcinogen      | ~100  |

Adjusted IUR of chloroprene is more in line with other known carcinogens; e.g., VC IUR is based on animal data, but with PBPK model adjustments.

# UNCERTAINTIES IN THE 2010 IUR

| Step   | IUR per ug/m <sup>3</sup>  | Basis  |
|--|----------------------------|--|
| Most sensitive endpoint/species<br>(portal-of-entry DAF=1.7) | 1.06 x 10 <sup>-4</sup>    | Lung tumors in female mice as a portal-of-entry effect |
| Most sensitive endpoint/species<br>(systemic lesion DAF=1)   | 1.81 x 10 <sup>-4</sup>    | Lung tumors in female mice as a systemic effect        |
| Multiple tumor adjustment                                    | 2.7 x 10 <sup>-4</sup>     | Multiple tumors  |
| Rounding   | 3 x 10 <sup>-4</sup>       | Rounding   |
| Application of ADAF  | <b>5 x 10<sup>-4</sup></b> | Adjustment (assuming mutagenicity)                     |

# PHARMACOKINETIC CORRECTION OF THE ANIMAL DATA

|                        | <b>IUR per ug/m<sup>3</sup></b> | <b>Basis</b>   | <b>Resulting decrease in IUR</b> |
|------------------------|---------------------------------|--|----------------------------------|
| US EPA (2010)          | <b>5 x 10<sup>-4</sup></b>      | Fully adjusted composite value in female mice with ADAF correction                                       | Referent                         |
| Allen et al. (2014)    | 1.86 x 10 <sup>-6</sup>         | PBPK dosimetric adjustment of lung tumors in female mice in target organ; includes animal and human data | ~250 fold decrease               |
| Ramboll Environ (2017) | 3.2 x 10 <sup>-6</sup>          | PBPK dosimetric adjustment of lung tumors in female mice in the target organ; based on animal data only  | 156 fold decrease                |

# PHARMACOKINETIC CORRECTION OF THE CHLOROPRENE IUR

- PBPK model was published by Himmelstein et al. (2004).
- Data were provided to EPA at the time of the review to check the validity of the model; however, EPA did not incorporate these data into the final IUR estimate.
- Data provided to EPA have been published (Yang et al., 2012; Thomas et al., 2013).
- Allen et al. (2014) reported that an IUR that incorporates pharmacokinetic differences 250 times lower than the 2010 IRIS IUR.
- Using the internal dose estimates from PBPK modeling from Yang et al. (2012) Ramboll Environ derived an IUR of  **$3.2 \times 10^{-6}$**  per  $\mu\text{g}/\text{m}^3$  which is **156 times lower** than the 2010 IRIS IUR.



## "REALITY CHECK"

| Source              | Unit Risk<br>(per ppm) | Mean<br>Exposure*<br>(ppm) | Excess Cancers<br>(Risk Estimate) | Excess Cancers<br>(Observed-Expected)<br>Local referent |
|---------------------|------------------------|----------------------------|-----------------------------------|---|
| US EPA (2010)       | 0.65                   | 8.42                       | 5.5                               | -84 (lung)  |
|                     | 1.08                   | 8.42                       | 9.1                               | -1.9 (liver)  |
|                     | 1.80                   | 8.42                       | 15.2                              |   |
|                     | w/ADAF                 |                            |                                   |   |
| Allen et al. (2014) | 0.0067                 | 8.42                       | 0.06                              |   |
| Ramboll Environ     | 0.012                  | 8.42                       | 0.1                               |   |

\*Mean exposure reported by Marsh et al. 2007a

IUR corrected for pharmacokinetic differences results in a cancer risk estimate consistent with epidemiological results (i.e., no observable excess risk).

# CANCER CLASSIFICATION

# CANCER CLASSIFICATION OF CHLOROPRENE

EPA classified chloroprene as “likely to be a human carcinogen” based on:

- National Toxicology Program (NTP, 1998) chronic inhalation bioassay;
- Associations between chloroprene exposure and liver cancer in four of nine epidemiological studies;
- Limited evidence of lung cancer;
- Proposed mutagenic mode of action; and
- Analogies with 1,3-butadiene and vinyl chloride

*Critical review of the evidence indicated that four of these five cannot be substantiated.  
The classification should be revisited and a clearer narrative provided.*

[https://cfpub.epa.gov/ncea/iris2/chemicalLanding.cfm?substance\\_nmbr=1021](https://cfpub.epa.gov/ncea/iris2/chemicalLanding.cfm?substance_nmbr=1021)



## SUMMARY AND CONCLUSIONS

## BASES FOR A REQUEST FOR CORRECTION OF THE 2010 IUR

- The highest quality epidemiological studies do not demonstrate a causal relationship between occupational exposures to chloroprene and cancer.
- Many lines of evidence point to pharmacokinetic differences across species.
- PBPK modelling is the best approach for correcting the IUR because of large pharmacokinetic differences between the mouse and humans.
- Using PBPK model output and standard EPA methods, Ramboll Environ calculated an IUR that is 156 times lower than the 2010 IRIS IUR.
- The IRIS classification of chloroprene as “likely to be a human carcinogen” should be reclassified given our understanding of the MOA.

**Integration of the full body of evidence indicates that the pharmacokinetic differences between the mouse and humans require that the IUR be corrected using PBPK model results.**

# THANK YOU

Ken Mundt

[kmundt@ramboll.com](mailto:kmundt@ramboll.com)

Robinan Gentry

[rgentry@ramboll.com](mailto:rgentry@ramboll.com)

Sonja Sax

[ssax@ramboll.com](mailto:ssax@ramboll.com)